



1540  
lm

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231 on June 26, 2002. #20 006

JAMES C. SIMMONS

Name

James C. Simmons

Signature

June 26, 2002

Date of signature

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re application of:  
Cohen et al

Issue fee due: 06-26-02

Serial no: 09/110,376

Filed: May 12, 1998

For: METHODS FOR IDENTIFYING OR DIAGNOSING CARCINOMA CELLS WITH METASTATIC POTENTIAL BASED ON THE MEASUREMENT OF LYMPHOID GENES OR THEIR PRODUCTS IN CARCINOMA CELLS

Examiner: K. Canella

Art Unit: 1642

**SUBMITTAL OF FORMAL DRAWINGS**

Assistant Commissioner for Patents  
Washington, DC 20231  
Attention: Chief Draftsperson

Sir:

Enclosed, together with the issue fee transmittal, are 22 sheets comprising the formal drawings for the above-entitled application.

Respectfully submitted,

James C. Simmons

James C. Simmons

Reg. no. 28,474

Enclosures  
The Law Office of James C. Simmons  
11 Falmouth Lane  
Williamsville, NY 14221  
Phone (716) 632-7702

3/02

09/110 376



I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231 on June 26, 2002.

JAMES C. SIMMONS

Name

*James C. Simmons*

Signature

June 26, 2002

Date of signature

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re application of:  
Cohen et al

Issue fee due: 06-26-02

#21

Serial no. 09/110,376

Filed: May 12, 1998

For: METHODS FOR IDENTIFYING OR DIAGNOSING CARCINOMA CELLS WITH METASTATIC POTENTIAL BASED ON THE MEASUREMENT OF LYMPHOID GENES OR THEIR PRODUCTS IN CARCINOMA CELLS

K5  
91002

Examiner: K. Canella

Art Unit: 1642

**LETTER RE CORRECTION OF TITLE**

Assistant Commissioner for Patents  
Washington, DC 20231  
Application Processing Division  
Customer Correction Branch

Sir:

This is being forwarded with the issue fee transmittal. It was noted during payment of the issue fee that the title had been entered incorrectly on the filing receipt. Enclosed is a copy of the filing receipt showing the change to be made to correct the title. Specifically, the title should read as indicated above.

Respectfully submitted,

*James C. Simmons*

James C. Simmons

Reg. no. 28,474

Enclosure  
The Law Office of James C. Simmons  
11 Falmouth Lane  
Williamsville, NY 14221  
Phone (716) 632-7702

**Match & Return**



## PART B - FEE(S) TRANSMITTAL

Complete and mail this form, together with applicable fee(s), to:

Box ISSUE FEE  
Assistant Commissioner for Patents  
Washington, D.C. 20231

MAILING INSTRUCTIONS: This form should be used for transmitting the ISSUE FEE and PUBLICATION FEE (if required). Blocks 1 through 4 should be completed where appropriate. All further correspondence including the Patent, advance orders and notification of maintenance fees will be mailed to the current correspondence address as indicated unless corrected below or directed otherwise in Block 1, by (a) specifying a new correspondence address; and/or (b) indicating a separate "FEE ADDRESS" for maintenance fee notifications.

CURRENT CORRESPONDENCE ADDRESS (Note: Legibly mark-up with any corrections or use Block 1)

7590

03/26/2002

THE LAW OFFICE OF JAMES C SIMMONS  
11 FALMOUTH LANE  
WILLIAMSVILLE, NY 14221

Note: The certificate of mailing below can only be used for domestic mailings of the Fee(s) Transmittal. This certificate can be used for any other accompanying papers. Each additional paper, such as an assignment or formal drawing, must have its own certificate of mailing.

## Certificate of Mailing

I hereby certify that this Fee(s) Transmittal is being deposited with the United States Postal Service with sufficient postage for first class mail in an envelope addressed to the Box Issue Fee address above on the date indicated below.

JAMES C. SIMMONS (Depositor's name)  
James C. Simmons (Signature)  
June 26, 2002 (Date)

09/110 376  
APPLICATION NO. FILING DATE 05/12/1998 FIRST NAMED INVENTOR OR STEFAN A. COHEN ATTORNEY DOCKET NO. CONFIRMATION NO. 4612

TITLE OF INVENTION: METHODS FOR IDENTIFYING FOR DIAGNOSING CARCINOMA CELLS WITH METASTATIC POTENTIAL BASED ON THE MEASUREMENT OF LYMPHOID GENES OR THEIR PRODUCTS IN CARCINOMA CELLS

TOTAL CLAIMS	APPLN. TYPE	SMALL ENTITY	ISSUE FEE	PUBLICATION FEE	TOTAL FEE(S) DUE	DATE DUE
1	nonprovisional	YES	\$640	\$0	\$640	06/26/2002

EXAMINER	ART UNIT	CLASS-SUBCLASS
CANELLA, KAREN A	1642	424-001110

1. Change of correspondence address or indication of "Fee Address" (37 CFR 1.363). Use of PTO form(s) and Customer Number are recommended, but not required.

☐ Change of correspondence address (or Change of Correspondence Address form PTO/SB/122) attached.

☐ "Fee Address" indication (or "Fee Address" Indication form PTO/SB/47) attached.

2. For printing on the patent front page, list (1) the names of up to 3 registered patent attorneys or agents OR, alternatively, (2) the name of a single firm (having as a member a registered attorney or agent) and the names of up to 2 registered patent attorneys or agents. If no name is listed, no name will be printed.

1 JAMES C. SIMMONS

2

3

## 3. ASSIGNEE NAME AND RESIDENCE DATA TO BE PRINTED ON THE PATENT (print or type)

PLEASE NOTE: Unless an assignee is identified below, no assignee data will appear on the patent. Inclusion of assignee data is only appropriate when an assignment has been previously submitted to the USPTO or is being submitted under separate cover. Completion of this form is NOT a substitute for filing an assignment.

(A) NAME OF ASSIGNEE

(B) RESIDENCE: (CITY and STATE OR COUNTRY)

Please check the appropriate assignee category or categories (will not be printed on the patent)

☐ individual ☐ corporation or other private group entity ☐ government

4a. The following fee(s) are enclosed:

☒ Issue Fee☐ Publication Fee☒ Advance Order - # of Copies 10

4b. Payment of Fee(s):

☒ A check in the amount of the fee(s) is enclosed.☐ Payment by credit card. Form PTO-2038 is attached.☐ The Commissioner is hereby authorized by charge the required fee(s), or credit any overpayment, to Deposit Account Number (enclose an extra copy of this form).

The COMMISSIONER OF PATENTS AND TRADEMARKS is requested to apply the Issue Fee and Publication Fee (if any) or to re-apply any previously paid issue fee to the application identified above.

(Authorized Signature)

(Date)

James C. Simmons Reg. 28,474 6-26-02

NOTE: The Issue Fee and Publication Fee (if required) will not be accepted from anyone other than the applicant; a registered attorney or agent; or the assignee or other party in interest as shown by the records of the United States Patent and Trademark Office.

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending on the needs of the individual case. Any comments on the amount of time required to complete this form should be sent to the Chief Information Officer, United States Patent and Trademark Office, Washington, D.C. 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND FEES AND THIS FORM TO: Box Issue Fee, Assistant Commissioner for Patents, Washington, D.C. 20231

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

07/08/2002 MBIZUNE2 00000212 09110376

01 FC:242

02 FC:561

640.00 OP

30.00 OP

Match &amp; Return

TRANSMIT THIS FORM WITH FEE(S)